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## REISSUE APPLICATION DECLARATION BY THE INVENTOR

Docket Number (Optional)

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is described and claimed

in patent number 6,344,033, granted February 5, 2002, and for which areissue patent is sought on the invention entitled NEEDLELESS CONNECTOR

the specification of which

☒ is attached hereto.☐ was filed on \_\_\_\_\_ as reissue application number \_\_\_\_\_ / \_\_\_\_\_  
and was amended on \_\_\_\_\_.

(If applicable)

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I verily believe the original patent to be wholly or partly inoperative or invalid, for the reasons described below. (Check all boxes that apply.)

☐ by reason of a defective specification or drawing.☒ by reason of the patentee claiming more or less than he had the right to claim in the patent.☐ by reason of other errors.

At least one error upon which reissue is based is described below. If the reissue is a broadening reissue, such must be stated with an explanation as to the nature of the broadening:

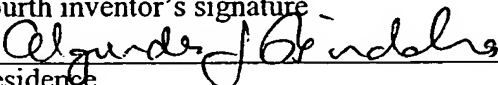
**This is an application for a broadening reissue application. Pursuant to the broadening reissue, Claims 15-54 have been added. Claims 15-54 are submitted because patentee claimed less than he had the right to claim in the patent. For example, Claim 15 is broader than Claim 1 in that it does not require the following limitation:**

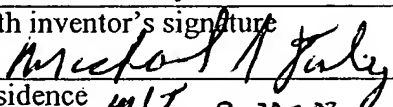
**"said housing including a first upper edge portion having an outside surface of generally constant first diameter, and a second lower portion spaced from said upper edge portion and having an outside surface of a generally constant second diameter, said second diameter being larger than said first diameter, said housing including a third intermediate portion extending from said distal edge portion to said second portion, said third portion having an outside surface with a changing diameter;"**

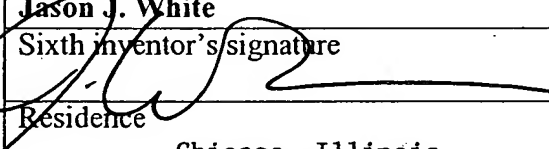
[Page 1 of 2]

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(REISSUE APPLICATION DECLARATION BY THE INVENTOR, page 2)		Docket Number (Optional)							
<p>All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant. As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith.</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 40%; border-bottom: 1px solid black;">Name(s)</td> <td style="width: 60%; border-bottom: 1px solid black;">Registration Number</td> </tr> <tr> <td style="border-bottom: 1px solid black;">Jeffrey C. Nichols</td> <td style="border-bottom: 1px solid black;">36,879</td> </tr> <tr> <td style="border-bottom: 1px solid black;">Robert M. Barrett</td> <td style="border-bottom: 1px solid black;">30,142</td> </tr> </table> <p>Correspondence Address: Direct all communications about the application to:</p> <div style="display: flex; align-items: center; margin-top: 10px;"> <input type="checkbox"/> Customer Number         <div style="border: 1px solid black; width: 200px; height: 20px; margin: 0 10px;"></div> <div style="border: 1px solid black; padding: 5px; margin-left: 10px; flex-grow: 1;">           Place Customer Number Bar Code Label here         </div> </div> <p style="text-align: center; margin-top: 5px;">Type Customer Number here</p>				Name(s)	Registration Number	Jeffrey C. Nichols	36,879	Robert M. Barrett	30,142
Name(s)	Registration Number								
Jeffrey C. Nichols	36,879								
Robert M. Barrett	30,142								
<input checked="" type="checkbox"/> Firm or Individual Name	<b>Baxter International Inc.</b>								
Address	<b>One Baxter Parkway</b>								
Address									
City	<b>Deerfield</b>	State	<b>IL</b>						
Country	<b>United States</b>								
Telephone	<b>847-948-4922</b>	Fax	<b>847-948-4026</b>						
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.</p>									
Full name of sole or first inventor (given name, family name)									
<b>Steven C. Jepson</b>									
Inventor's signature									
Residence	<b>Palatine, Illinois</b>	Date	<b>9-26-03</b>						
Mailing Address	<b>2184 Williamsburg Drive, Palatine, Illinois 60074</b>								
Full name of second joint inventor (given name, family name)									
<b>Thomas E. Dudar</b>									
Inventor's signature									
Residence	<b>Palatine, Illinois</b>	Date	<b>9-26-03</b>						
Mailing Address	<b>228 North Crescent, Palatine, Illinois 60067</b>								
Full name of third joint inventor (given name, family name)									
<b>Rodrigo A. Montanez</b>									
Inventor's signature									
Residence	<b>Lake Forest, IL</b>	Date	<b>9-25-03</b>						
Mailing Address	<b>1200 Edgewood Road, Lake Forest, Illinois 60045</b>								
<input checked="" type="checkbox"/> Additional joint inventors are named on separately numbered sheets attached hereto.									

Full name of fourth inventor <b>Algirdas J. Bindokas</b>	
Fourth inventor's signature 	Date 9-25-03
Residence Clarendon Hills, IL	
Citizenship United States	
Post Office Address 67. Waverly	
Clarendon Hills, Illinois 60514	

Full name of fifth inventor <b>Michael J. Finley</b>	
Fifth inventor's signature 	Date 9-30-03
Residence <del>MIT</del> 9-30-03 <del>Park City, Illinois</del> Wilmot Wisconsin	
Citizenship United States	
Post Office Address <del>3421 Michael Avenue</del> 9-30-03 P.O. Box 84 30617 E 113 <sup>th</sup> St	
<del>Park City, Illinois 60085</del> 9-30-03 Wilmot, Wisconsin 53192-0084	


Full name of sixth inventor <b>Jason J. White</b>	
Sixth inventor's signature 	Date 10/3/2003
Residence Chicago, Illinois	
Citizenship United States	
Post Office Address 9147 S. Phillips	
Chicago, Illinois 60617	

Full name of seventh inventor <i>as 9/25/03</i> <b>Camille Summer Summers</b>	
Seventh inventor's signature <i>Camille Summer</i>	Date <i>9/25/03</i>
Residence Antioch, Illinois	
Citizenship United States	
Post Office Address 39963 N. Hidden Bunker Ct Antioch, Illinois 60002	

Full name of eighth inventor <b>Yuan Pang Samuel Ding</b>	
Eighth inventor's signature <i>Yuan Pang Samuel Ding</i>	Date <i>9/29/03</i>
Residence Libertyville, Illinois	
Citizenship <b>Republic of China</b>	
Post Office Address 1117 Trevor Circle Libertyville, Illinois 60048	

Full name of ninth inventor <b>Lewis E. Daniels</b>	
Ninth inventor's signature <i>Lewis E. Daniels Jr.</i>	Date <i>09/25/2003</i>
Residence Wonder Lake, Illinois	
Citizenship United States	
Post Office Address 5018 W. Lake Shore Drive Wonder Lake, Illinois 60097	

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<b>REISSUE APPLICATION: CONSENT OF ASSIGNEE; STATEMENT OF NON-ASSIGNMENT</b>		Docket Number (Optional)
<p>This is part of the application for a reissue patent based on the original patent identified below.</p>		
Name of Patentee(s) <b>Jepson et al.</b>		
Patent Number <b>6,344,033</b>	Date Patent Issued <b>February 5, 2002</b>	
Title of Invention <b>NEEDLELESS CONNECTOR</b>		
<p>1. <input checked="" type="checkbox"/> Filed herein is a statement under 37 CFR 3.73(b). (Form PTO/SB/96)</p> <p>2. <input type="checkbox"/> Ownership of the patent is in the inventor(s), and no assignment of the patent is in effect.</p> <p>One of boxes 1 or 2 above must be checked. If multiple assignees, complete this form for each assignee. If box 2 is checked, skip the next entry and go directly to "Name of Assignee".</p> <p>The written consent of all assignees and inventors owning an undivided interest in the original patent is included in this application for reissue.</p>		
<p>The assignee(s) owning an undivided interest in said original patent is/are <u><b>Baxter International Inc.</b></u> and the assignee(s) consents to the accompanying application for reissue.</p>		
Name of assignee/inventor (if not assigned) <b>Baxter International Inc.</b>		
Signature 	Date <b>December 4, 2003</b>	
Typed or printed name and title of person signing for assignee (if assigned) <b>Jan Reed, Corporate Secretary &amp; Assoc. Gen. Counsel</b>		

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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# STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Jepson et al.

Application No./Patent No.: 6,344,033 Filed/Issue Date: February 5, 2002

Entitled: NEEDLELESS CONNECTOR

Baxter International Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 009589, Frame 0555, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

December 4, 2003

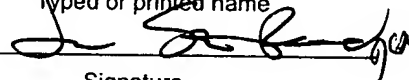
Date

847/948-2212

Telephone number

Jan. Reed

Typed or printed name



Signature

Corp. Sec. & Assoc. Gen. Counsel

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

03-05-1999

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

RE



HEET

100922066

To the Assistant Commissioner for

original documents or copy thereof.

1. Name of conveying party(ies):

Steven C. Jepson 10-22-98  
Thomas E. Dudar 10-23-98  
Rodrigo A. Montanez 10-23-98

Additional name(s) of conveying parties attached? Yes ☒ No ☐

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution date: 10-22-98, 10-23-98, 9-30-98

2. Name and address of receiving party(ies)

Name: Baxter International Inc.

Internal Address: DF2-2E

Street Address: One Baxter Parkway

City: Deerfield State: IL Zip: 60015

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)  
9/081,728

B. Patent No.(s)

RECEIVED

MAR 17 1999

LAW LEFT.

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document(s) should be mailed:

Name: Jeffrey C. Nichols  
Baxter International Inc.  
Internal Address: DF2-2E  
Street Address: One Baxter Parkway  
City: Deerfield  
State: IL Zip: 60015

6. Total number of applications and patents involved:

1

7. Total Fee (37 CFR 3.41):  
\$40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit Account Number:  
02-1440

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. STATEMENT AND SIGNATURE:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jeffrey C. Nichols  
Name of person signing

Signature

Date

March 2 1998

Total number of pages including cover sheet attachments, and documents:

Mail documents to be recorded with required cover sheet information to:

The Assistant Commissioner for Patents, Box Assignments,

19/1998 SBURNS 00000142 021440 09081728 Washington, D.C. 20231

FC:581 40.00 CH

RECORDATION FORM COVER SHEET (Continued)

Additional name(s) of conveying parties:

Algirdas J. Bindokas  
Michael J. Finley  
Jason J. White  
Camille Summers  
Samuel Ding  
Lewis E. Daniels



## ASSIGNMENT

Serial No. : 9/081,728

Filed: May 20, 1998

In consideration of ONE DOLLAR and other good and valuable considerations, the receipt and sufficiency whereof are hereby acknowledged, we hereby assign to BAXTER INTERNATIONAL INC. (hereinafter referred to as "assignee"), a corporation of Delaware, having a principal place of business at DEERFIELD, ILLINOIS, its successor, legal representatives and assigns, the entire right, title and interest throughout the world in our invention or improvements in "NEEDLELESS CONNECTOR" and in the application for Patent of the United States U.S. Patent Application No. 09/081,728 filed May 20, 1998 and any and all other United States applications and applications in any and all countries which we may file, either solely or jointly with others, on said invention or improvements, and in any and all Patents of the United States or of any other country which may be obtained on any of the said applications, and in any reissue or extension thereof.

We hereby authorize and request the Commissioner of Patents to issue said Patent to said BAXTER INTERNATIONAL INC.

We warrant ourselves to be the owner of the interest herein assigned and to have the right to make this assignment; and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

For said considerations we hereby agree, upon the request and at the expense of said assignee, its successors, legal representatives and assigns, to execute any and all divisional, continuation, and renewal applications for said invention or improvements, and any necessary oath or supplemental oath or affidavit relating thereto, and any application for the reissue or extension of any Patent that may be granted upon said application that said assignee, its successors, legal representatives and assigns may deem necessary or expedient, and for the said considerations we further agree, upon the request of said assignee, its successors, legal representatives and assigns, in the event of said application or any division thereof, or Patent issued thereon, or any reissue or application for the reissue thereof becoming involved in interference, to cooperate to the best of my ability with said assignee, its successors, legal representatives and assigns in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof. We further agree to perform, upon such request, any and all affirmative acts to obtain Patent, and vest all rights therein hereby conveyed in the said assignee, its successors, legal representatives and assigns whereby said Patent will be held and enjoyed by the said assignee, its successors, legal representatives and assigns to the end of the term for which said Patent may be granted as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made, and for the said considerations we hereby also assign to said assignee, its successors, legal representatives and assigns the entire right, title and interest in said invention or improvements for any and all foreign countries and the right of priority for patent and utility model application in all countries arising under any applicable international convention for the protection of industrial property and/or any internal priority legislation of such countries, and we further agree upon the request of said assignee, its successors, legal representatives and assigns to execute any and all documents that shall be required to be executed in connection with any and all applications for foreign Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said assignee.

**THIS DOCUMENT MUST BE EXECUTED IN THE PRESENCE  
OF A NOTARY PUBLIC**

WITNESS our hand and seal

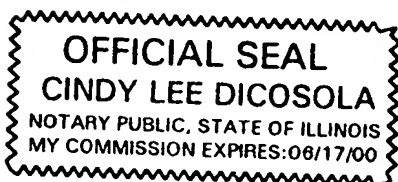
Signature: Steven C. Jepson

Typed Name: STEVEN C. JEPSON

State of IL

County of COOK LAKE

Signed before me on this 22 day of OCTOBER, 1998 before me, a Notary Public, appeared Steven C. Jepson personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.



Cindy Lee Dicosola  
Notary Public

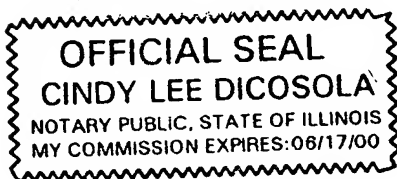
Signature: Thomas E. Dudar

Typed Name: THOMAS E. DUDAR

State of IL

County of Lake

Signed before me on this 23 day of Oct 1998 before me, a Notary Public, appeared Thomas E. Dudar personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.



Cindy Lee Dicosola  
Notary Public

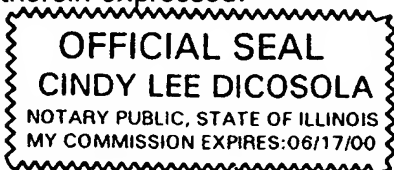
Signature: Rodrigo A. Montanez

Typed Name: RODRIGO A. MONTANEZ

State of ILLINOIS

County of COOK LAKE

Signed before me on this 23<sup>rd</sup> day of OCTOBER, 1998 before me, a Notary Public, appeared Rodrigo A. Montanez personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.



Cindy Lee Dicosola  
Notary Public

THIS DOCUMENT MUST BE EXECUTED IN THE PRESENCE  
OF A NOTARY PUBLIC

Signature: Algirdas J. Bindokas  
Typed Name: ALGIRDAS J. BINDOKAS

State of Illinois County of Lake

Signed before me on this 30<sup>th</sup> day of Sept. 1998 before me, a Notary Public, appeared Algirdas J. Bindokas personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

Susan M. Sindler  
Notary Public



Signature: Michael J. Finley  
Typed Name: MICHAEL J. FINLEY

State of Illinois County of Lake

Signed before me on this 30<sup>th</sup> day of Sept., 1998 before me, a Notary Public, appeared Michael J. Finley personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

Susan M. Sindler  
Notary Public

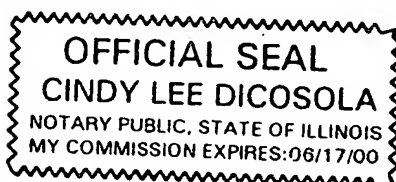


Signature: Jason J. White  
Typed Name: JASON J. WHITE

State of ILLINOIS County of LAKE

Signed before me on this 23 day of OCTOBER, 1998 before me, a Notary Public, appeared Jason J. White personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

Cindy Lee Dicosola  
Notary Public



THIS DOCUMENT MUST BE EXECUTED IN THE PRESENCE  
OF A NOTARY PUBLIC

Signature:

Camille Summers

Typed Name:

CAMILLE SUMMERS

State of ILLINOIS

County of LAKE

Signed before me on this 23<sup>rd</sup> day of OCTOBER, 1998 before me, a Notary Public, appeared Camille Summers personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.



Cindy Lee Dicosola  
Notary Public

Signature:

Samuel D. Ding

Typed Name:

SAMUEL DING

State of Illinois

County of Lake

Signed before me on this 26<sup>th</sup> day of October, 1998 before me, a Notary Public, appeared Samuel Ding personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.



Susan M Sindler  
Notary Public

Signature:

Lewis E Daniels

Typed Name:

LEWIS E. DANIELS

State of ILLINOIS

County of LAKE

Signed before me on this 23<sup>rd</sup> day of OCTOBER, 1998 before me, a Notary Public, appeared Lewis E. Daniels personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.



Cindy Lee Dicosola  
Notary Public

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OF A NOTARY PUBLIC